

PREA AUDIT: AUDITOR'S SUMMARY REPORT

ADULT PRISONS & JAILS

NATIONAL
PREA
RESOURCE
CENTER



BJA
Bureau of Justice Assistance
U.S. Department of Justice

[Following information to be populated automatically from pre-audit questionnaire]

Name of facility:	Taft Correctional Institution (MTC)		
Physical address:	1500 Cadet Road, Taft, California 93268		
Date report submitted:	Initial report 27 December, 2013 (Final report 7 March, 2014)		
Auditor Information	Jack Falconer		
Address:	3421 E. Topeka Drive, Phoenix, Arizona 85050		
Email:	jfalconer1@cox.net		
Telephone number:	602-374-3320		
Date of facility visit:	17-19 December, 2013		
Facility Information			
Facility mailing address: <i>(if different from above)</i> 			
Telephone number:	661-763-2510		
The facility is:	<input type="checkbox"/> Military	<input type="checkbox"/> County	<input checked="" type="checkbox"/> Federal
	<input checked="" type="checkbox"/> Private for profit	<input type="checkbox"/> Municipal	<input type="checkbox"/> State
	<input type="checkbox"/> Private not for profit		
Facility Type:	<input type="checkbox"/> Jail	<input checked="" type="checkbox"/> Prison	
Name of PREA Compliance Manager:	Norris Hogans	Title: Associate Warden/PREA Compliance Manager	
Email address:	Norris.Hogans@mtctrains.com	661-763-2510, ext 1181	Telephone number:
Agency Information			
Name of agency:	Management Training Corporation		
Governing authority or parent agency: <i>(if applicable)</i>			

Physical address:	500 North Marketplace Drive, PO Box 10, Centerville, UT 84014		
Mailing address: <i>(if different from above)</i>			
Telephone number:	801-693-2600		
Agency Chief Executive Officer			
Name:	Scott Marquardt	Title:	President and CEO
Email address:	scott.mardquardt@mtctrains.com	Telephone number:	801-693-2800
Agency-Wide PREA Coordinator			
Name:	Mark Lee	Title:	Director, Corrections/Corporate PREA Coordinator
Email address:	Mark.lee@mtctrains.com	Telephone number:	801-693-2864

AUDIT FINDINGS

NARRATIVE:

The PREA audit of the Taft Correctional Institution (MTC) was conducted on December 17-19, 2013. The entrance meeting was held where introductions were made. The following Taft Correctional Institution (TCI) and Management Training Corporation (MTC) staff was in attendance:

Neil H. Adler, Vice President Corrections, MTC

Michael L. Benov, Warden

Diana Cormier, Bureau of Prisons Contracting Officer

Karl Hyzer, Bureau of Prisons Senior Secure Institution Manager

Rick Batten, Associate Warden of Operations

Georgina Puentes, Associate Warden of Programs

Norris Hogans, Associate Warden, PREA Compliance Manager

Martin Friend, Chief of Security

Lauri Watts, Health Services Administrator

Ralph Ihle, Chief Psychologist

Paul Thomas, MTC Medical

Heather Manuz, Quality Assurance Manager

Jack Falconer, PREA Auditor

Agency/Facility Description

It is the mission of Management Training Corporation (MTC) to " Be a leader by: implementation our plan to achieve high performance standards and goals; Maintaining a foundation based on integrity, accountability, and excellence; Providing long-term growth and stability while ensuring fiscal responsibility; creating opportunities through a positive environment for personal growth and development; empowering employees to implement innovative ideas for continuous improvement; Building esteem and pride by celebrating our diversity and accomplishments".

The Mission of the Taft Correctional Institution (TCI) is "To house federal inmates in a safe, humane, cost-efficient, and appropriately secure institution and to provide necessary and appropriate medical, dental, and mental health services to inmates by professional staff and to provide work and other self-improvement opportunities to assist offenders in becoming law-abiding citizens".

The TCI is owned by the Federal Bureau of Prisons and the operator is Management & Training Corporation (MTC). The facility includes a low security correctional institution and a separate minimum security (Camp) facility. The population in the low security facility is adult male consisting of criminal aliens (non-U.S. citizens). The inmate population in the Camp facility is minimum security adult male.

The institution is located on a 250 acre site approximately 2 miles south and 3 miles west of the city of Taft, California and 40 miles southwest of Bakersfield, California.

The inmate housing (Low security) consists of three dormitory type housing units. The units are 2 floor construction and are designed to house 1536 inmates (512 beds in each unit). Each unit provides basic furnishings, common shower facilities, and common TV areas. The dorms are supervised by three Unit Managers. All showers and commodes at the TCI have partial doors to enhance privacy. Each housing unit is staffed with a Unit Manager, 4 Case Managers, 3 Counselors and 2 Unit Secretaries. The Correctional Officers provide security supervision

The facility provides a Special Housing Unit (SHU) located in the low security institution that has cell space for 96 beds in Administrative Detention, 48 beds in Disciplinary Segregation, and 1 handicap cell.

The Camp has a one inmate housing unit with space for 512 dominator style beds. The Camp is staffed with 1 Camp Administrator (AW), 1 Unit Manager, 4 Case Managers, 3 Counselors and 2 Secretaries. The Camp's mission is to provide support services to the main institution and outside facilities. The Camp has spaces for food service, recreation and programs.

The Low facility is surrounded by two chain link fences with razor wire and an electronic intrusion alarm system. One armed vehicle patrols the perimeter 24/7. A Control Center monitors all traffic entering and exiting the facility. The facility has two entry points, the front staff and visitor entrance and the rear wire gate for vehicles

The ancillary support structures provide spaces for administration, central control, visiting, food service, education, medical, commissary, maintenance, laundry, recreation, and a Chapel

Educational Programs include post secondary education, General Educational Development (GED), correspondence courses, and English as a Second Language. The educational program has been accredited by the Correctional Educational Association.

There are also recreational activities, religious, and social services available. TCI has been accredited by ACA and JCAHO.

FACILITY CHARACTERISTICS:

Rated Capacity:	2048
Actual Population:	2305
Avg Daily Population (Last 12 months):	2340
Average Length of stay (yrs):	6.4 yrs
Security/Custody level:	Low (TCI) Minimum (Camp)
Gender:	Male
Age range of offenders (yrs):	18-78
Number of full time staff:	339
Admin	6
Security	182
Programs	62
Support	89

During the three day on-site audit, the auditor toured the facility, examined additional documentation, and conducted formal staff and inmate interviews. 13 inmates from the housing units, 19 specialized staff, and 10 Correctional Officers were interviewed using the questions provided in the audit documents.

The Pre-Audit document provided by the facility indicated one alleged issue with staff sexual abuse and one alleged inmate on inmate sexual abuse. The two allegations were thoroughly investigated. One was determined to be unfounded and the other involved disciplinary action.

The interviewed inmates and staff indicated that the TCI was a safe place to serve time and to work. There were no complaints from the inmate population or the TCI staff.

In this auditor's opinion, the TCI was very well managed and the staff was well trained and professional in their assignments. The PREA Compliance Manager and the Assistant PREA Manager were very knowledgeable about the PREA requirements and were considered by the auditor to be very effective in meeting the requirements of PREA.

SUMMARY OF AUDIT FINDINGS:

Number of standards exceeded:	0	
Number of standards met:	42	
Number of standards not met:	0	(115.21, 115.34, 115.71 now in compliance)
Number of standards not applicable	1	(115.14)
Total	43	

§115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.12 - Contracting with other entities for the confinement of inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.13 – Supervision and Monitoring

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.14 – Youthful Inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Not Applicable- No one under 18 years of age at this facility

§115.15 – Limits to Cross-Gender Viewing and Searches

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.16 – Inmates with Disabilities and Inmates who are Limited English Proficient

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.17 – Hiring and Promotion Decisions

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.18 – Upgrades to Facilities and Technology

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.21 – Evidence Protocol and Forensic Medical Examinations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

This standard was found non-compliant in the initial report because the Federal Bureau of Investigation (FBI) did not provide to TCI the documentation that their investigators were properly trained according to the PREA requirements. TCI has made repeated requests to the FBI for this documentation. Per an instructional memo from the National PREA Resource Training Center dated 6 March, 2014, the standard will now be found in compliance at TCI. Further, this auditor finds that the FBI remains non-compliant to the requirements of this standard (115.21 a-e).

§115.22 – Policies to Ensure Referrals of Allegations for Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.31 – Employee Training

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.32– Volunteer and Contractor Training

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.33 – Inmate Education

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.34 – Specialized Training: Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

This standard was found non-compliant in the initial report because the Federal Bureau of Investigation (FBI) did not provide to TCI the documentation that their investigators were properly trained according to the PREA requirements. TCI has made repeated requests to the FBI for this documentation. Per an instructional memo from the National PREA Resource Training Center dated 6 March, 2014, the standard will now be found in compliance at TCI. Further, this auditor finds that the FBI remains non-compliant to the requirements of this standard (115.34).

§115.35 – Specialized training: Medical and mental health care

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.41 – Screening for Risk of Victimization and Abusiveness

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.42 – Use of Screening Information

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.43 – Protective Custody

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.51 – Inmate Reporting

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.52 – Exhaustion of Administrative Remedies

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.53 – Inmate Access to Outside Confidential Support Services

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.54 – Third-Party Reporting

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.61 – Staff and Agency Reporting Duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.62 – Agency Protection Duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.63 – Reporting to Other Confinement Facilities

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.64 – Staff First Responder Duties

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.65 – Coordinated Response

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.66 – Preservation of ability to protect inmates from contact with abusers

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.67 – Agency protection against retaliation

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.68 – Post-Allegation Protective Custody

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.71 – Criminal and Administrative Agency Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

This standard was found non-compliant in the initial report because the Federal Bureau of Investigation (FBI) did not provide to TCI the documentation that their investigators were properly trained according to the PREA requirements. TCI has made repeated requests to the FBI for this documentation. Per an instructional memo from the National PREA Resource Training Center dated 6 March, 2014, the standard will now be found in compliance at TCI. Further, this auditor finds that the FBI remains non-compliant to the requirements of this standard (115.71).

§115.72 – Evidentiary Standard for Administrative Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.73 – Reporting to Inmate

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.76 – Disciplinary sanctions for staff

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.77 – Corrective action for contractors and volunteers

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.78 – Disciplinary sanctions for inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.81 – Medical and mental health screenings; history of sexual abuse

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.82 – Access to emergency medical and mental health services

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.83 – Ongoing medical and mental health care for sexual abuse victims and abusers

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.86 – Sexual abuse incident reviews

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.87 – Data Collection

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§115.88 – Data Review for Corrective Action

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

§§115.89 – Data Storage, Publication, and Destruction

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

AUDITOR CERTIFICATION:

The auditor certifies that the contents of the report are accurate to the best of his/her knowledge and no conflict of interest exists with respect to his or her ability to conduct an audit of the agency under review. (Final report 7 March, 2014)

Jack Falconer Jack Falconer 7 March, 2014
Auditor Signature Date